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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/707,987	11/08/2000	John C. Myers	11559STUS02U	1024

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EXAMINER

GAUTHIER, GERALD

ART UNIT	PAPER NUMBER
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2645

19

DATE MAILED: 05/21/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/707,987

Applicant(s)

MYERS ET AL.

Examiner

Gerald Gauthier

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 09 March 2004.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 33-50 and 52-54 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 33-35, 39, 43-50, 52 and 53 is/are rejected.
- 7) ☒ Claim(s) 36-38, 40-42 and 54 is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
 - ☐ Certified copies of the priority documents have been received in Application No. _____.
 - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- ☒ Notice of References Cited (PTO-892)
- ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____.
- ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____.
- ☐ Notice of Informal Patent Application (PTO-152)
- ☐ Other: _____.

DETAILED ACTION

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on February 27, 2004 has been entered.

Claim Rejections - 35 USC § 102

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

3. **Claim 33** is rejected under 35 U.S.C. 102(e) as being anticipated by Ogle et al. (US 6,430,604).

Regarding **claim 33**, Ogle discloses a method of accessing instant messaging on the data network (which reads on "a technique for enabling messaging systems to use alternative delivery mechanisms") (column 1, lines 7-18), comprising the steps of:

identifying a subscriber (321 on FIG. 3), a telephone number (325 on FIG. 3) at which they can receive messages, and a predefined period of time (327 on FIG. 3) for

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which they can receive messages at this number (column 8, lines 7-67) [The user 321 has registered with the system for alternatively receiving an instant message at a telephone number 325 between 9am-5pm 327 for certain days of the week];

establishing the user's presence and ability to receive instant messages (column 9, line 36 "the user 311 can be reached by cellular telephone") on the data network (40 on FIG. 2) during the specify time period (column 9, lines 16-42) [The instant messaging system 403 uses the user' s 311 entries that indicated that the user can be reach by cellar telephone at these hours];

where an instant message (402b on FIG. 4) is sent to the subscriber during this period of availability and calling (column 9, line 47 "the user is called at a regular telephone") the subscriber at the predetermined telephone number and delivering the message (column 9, lines 43-60) [The instant messaging system 403 converts the instant message through a text-to-speech and calls the user at the telephone number to deliver the message to the user as a voice message].

Claim Rejections - 35 USC § 103

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

5. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

6. **Claims 34-35, 39, 48, 50 and 52-53** are rejected under 35 U.S.C. 103(a) as being unpatentable over Ogle in view of Goldfinger et al. (US 6,449,344).

Regarding **claim 34**, Ogle discloses a method of accessing instant messaging on a data network, (which reads on a technique for enabling messaging systems to use alternative delivery mechanisms”) (column 1, lines 7-18) comprising the step of:

identifying a telephone user (321 on FIG. 3) as a subscriber, a telephone number (325 on FIG. 3) at which they can received messages, and a range (327 on FIG. 3) during which they can receive messages at this number (column 8, lines 7-67) [The user 321 has registered with the system for alternatively receiving an instant message at a telephone number 325 between 9am-5pm 327 for certain days of the week];

establishing the subscriber's presence and ability to receive instant messages (column 9, line 36 “the user 311 can be reached by cellular telephone”) on the data network (40 on FIG. 2) during the specified time period (column 9, lines 16-42) [The

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instant messaging system 403 uses the user's 311 entries that indicated that the user can be reach by cellar telephone at these hours];

presenting to the subscriber a subset of a predetermined user list (column 1, line 41 "which people are logged on to the system"), the subset representing users logged onto a data network (column 1, lines 34-49) [The instant messaging system typically indicates to the user the list of the people which are logon to the system];

wherein the message includes the telephone user's telephone number (325 on FIG. 3) and a duration of time (327 on FIG. 3) the telephone user will be available at that number (column 8, lines 7-67) [The user is entitled to receive an instant message at a telephone number 325 between 9am-5pm 327 for certain days of the week].

Ogle discloses the user sending an instant message to a subscriber which has an available time for receiving the message but fails to disclose responsive to the subscriber selecting a particular user from the subset of the predetermined user list, sending a message from the subscriber to the selected data network user using an instant messaging protocol.

However, Goldfinger teaches responsive to the subscriber selecting a particular user (34 on FIG. 1) from the subset of the predetermined user list, sending a message (column 6, line 60 "user authorizes the request for authorization") from the subscriber to the selected data network user using an instant messaging protocol (column 6, lines 52-65) [The user 34 authorizes the request from the user 18 after receiving the request for authorization from the annunciator 24].

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It would have been obvious to one of the ordinary skill in the art at the time the invention was made to modify Ogle using the annunciator in the data network as taught by Goldfinger.

This modification of the invention enables the system to provide a unique identifier of each user so that the user would establish a point-to-point communications with the receiver.

Regarding **claim 35**, Goldfinger teaches the step of presenting occurs upon receipt of a predetermined command from the telephone user (column 6, lines 52-65).

Regarding **claim 39**, Ogle discloses the step of presenting occurs automatically upon login by the telephone user (column 1, lines 34-49).

Regarding **claim 48**, Goldfinger teaches the step of sending a message includes sending a prerecorded text message (column 6, lines 52-65).

Regarding **claim 50**, Goldfinger teaches the steps of receiving an instant message in response the message sent by the telephone user and notifying the telephone user of the receipt of the message (column 7, lines 1-6).

Regarding **claim 52**, Goldfinger teaches the steps of, during the duration of time, receiving an instant message in response the message sent by the telephone user and

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notifying the telephone user of the receipt of the message at the telephone user's telephone number (column 6, lines 14-34).

Regarding **claim 53**, Ogle discloses the step of presenting includes the steps of first determining whether the telephone user is logged onto the data network and if not then presenting the user list (column 9, lines 60-65).

7. **Claims 43 and 45** are rejected under 35 U.S.C. 103(a) as being unpatentable over Ogle in view of Goldfinger and in further view of Ng et al. (US 6,424,647).

Regarding **claim 43**, Ogle and Goldfinger as applied to **claim 34** differ from **claim 43**, in that it fails to disclose the step of selecting includes the step of receiving a DTMF command from the telephone user.

However, Ng teaches the step of selecting includes the step of receiving a DTMF command from the telephone user (column 18, lines 13-30).

It would have been obvious to one of the ordinary skill in the art at the time the invention was made to modify Ogle using the DTMF decoder as taught by Ng.

This modification of the invention enables the system to provide a method for establishing a phone call over the Internet so that the user would dial the number for a phone call over the Internet.

Regarding **claim 45**, Ng teaches the step of selecting includes the step of receiving a proprietary signal from the telephone (column 18, lines 13-30).

8. **Claims 44, 46-47 and 49** are rejected under 35 U.S.C. 103(a) as being unpatentable over Ogle in view of Goldfinger and in further view of Sekiguchi et al. (US 5,848,134).

Regarding **claim 44**, Ogle and Goldfinger as applied to **claim 34** differ from **claim 44**, in that it fails to disclose the step of selecting includes the step of receiving a voice command from the telephone user.

However, Sekiguchi teaches the step of selecting includes the step of receiving a voice command from the telephone user (column 11, lines 63-67).

It would have been obvious to one of the ordinary skill in the art at the time the invention was made to modify Ogle using the vice data format as taught by Sekiguchi.

This modification of the invention enables the system to provide a real-time message exchange between a telephone and a computer so that the user would send messages between terminals of different types.

Regarding **claim 46**, Sekiguchi teaches the step of sending a message includes recording and sending a voice message (column 11, lines 63-67).

Regarding **claim 47**, Sekiguchi teaches the step of sending a message includes sending a prerecorded voice message (column 11, lines 63-67).

Regarding **claim 49**, Sekiguchi teaches the step of sending a message includes sending a text transcription of a voice message (column 13, lines 11-14).

Allowable Subject Matter

9. **Claims 36-38, 40-42 and 54** are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

Regarding **claims 36 and 40**, the prior art at this time fails to disclose the step of presenting including voice-synthesizing names of the user list.

Regarding **claims 37 and 42**, the prior art at this time fails to disclose the step of presenting includes playing back prerecorded names on the user list.

Regarding **claims 38 and 41**, the prior art at this time fails to disclose the step of presenting includes displaying names on the user list on a display associated with the telephone.

Regarding **claim 54**, the prior art at this time fails to disclose the step of determining whether the telephone user is logged onto the data network includes determining if the telephone subscriber is a personal communications subscriber and, if the user is, maintaining a presence in the data network for the telephone user for receiving and forwarding instant messages to the telephone user.

Response to Arguments

10. Applicant's arguments with respect to **claims 33-35, 39, 43-50 and 52-53** have been considered but are moot in view of the new ground(s) of rejection.

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Gerald Gauthier whose telephone number is (703) 305-0981. The examiner can normally be reached on 8:00 AM to 4:30 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Fan Tsang can be reached on (703) 305-4895. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



g.g.

May 16, 2004

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